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Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/748,354 TRANSMITTAL Filing Date 12/30/2003 First Named Inventor **FORM** MOSS, Richard L. Art Unit N/A **Examiner Name** (to be used for all correspondence after initial filing) Unknown Attorney Docket Number 554 054030-0045 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC X Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Letter regarding IDS Request for Refund Express Abandonment Request Return Receipt Postcard CD, Number of CD(s) Information Disclosure Statement 52 References Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Signature Printed name Charles L. Leeck Date Reg. No. 50,343 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Ruth Ellen Genac Typed or printed name 2004

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PTO/SB/17 (10-04v2)
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aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ENTE TRADE Complete if Known FEE TRANSMITTAL **Application Number** 10/748,354 for FY 2005 12/30/2003 Filing Date MOSS, Richard L. First Named Inventor Effective 10/01/2004. Patent fees are subject to annual revision. **Examiner Name** Unknown  $\overline{\mathrm{X}}$  Applicant claims small entity status. See 37 CFR 1.27 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 0.00054030-0045 Attorney Docket No METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Chack C Cradit card Money C Other

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Number	1051	130	2051	65	Surcharge - late filing fee or oath	
Oeposit Account Name Godfrey & Kahn, S.C.	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below X Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	430	2252	215	Extension for reply within second month	
Large Entity Small Entity	1253	980	2253	490	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid Code (\$)	1254	1,530	2254	765	Extension for reply within fourth month	
1001 790 2001 395 Utility filing fee	1255	2,080	2255	1,040	Extension for reply within fifth month	
1002 350 2002 175 Design filing fee	1401	340	2401	170	Notice of Appeal	
1003 550 2003 275 Plant filing fee	1402	340	2402	170	Filing a brief in support of an appeal	
1004 790 2004 395 Reissue filing fee	1403	300	2403	150	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive - unavoidable	
	1453	1,370	2453	685	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,370	2501	685	Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502	490	2502	245	Design issue fee	
Total Claims 20** = X =	1503	660	2503	330	Plant issue fee	
Claims X = X = X	1460	130	1460	130	Petitions to the Commissioner	
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Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806	180	Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	802 <sup>-</sup>	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 88 2201 44 Independent claims in excess of 3	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 300 2203 150 Multiple dependent claim, if not paid	1810	790	2810	395	For each additional invention to be	
1204 88 2204 44 ** Reissue independent claims	400.	700			examined (37 CFR 1.129(b))	
over original patent	1801	790	2801		Request for Continued Examination (RCE)	, .
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	

SUBMITTED BY				(Complete (	(if applicable))
Name (Print/Type)	Charles L. Leeck	Registration No. (Attorney/Agent)	50,343	Telephone	414-273-3500
Signature	a zer			Date	10-21-04

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Richard L. Moss, et al.

Application No.:

10/748,354

For:

TRANSGENIC MODEL FOR MYOCARDIAL FUNCTION

Filed:

December 30, 2003

Group Art Unit:

N/A

Examiner:

Unknown

Attorney Docket No.:

054030-0045

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## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, the Applicant submits the references listed below for consideration.

## **Non-Patent References:**

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Respectfully Submitted,

GODFREY & KAHN, S.C.

By:

Charles L. Leeck

Registration No. 50,343

Dated: 10-21-04

Attorney of Record for the Applicant GODFREY & KAHN, S.C. 780 North Water Street Milwaukee, WI 53202-35590 (414) 273-3500 MW848412\_1.DOC

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Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
nitials*	No. <sup>1</sup>	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		BAUER, C.B. et al. 2000. J. Biol. Chem. 275, 38494-38499	
		BERNSTEIN, S.I. et al. 1997. J. Mol. Biol. 271, 1-6	
		DOMINGUEZ, R. et al. 1998. Cell 94, 559-571	
		FISHER, A.J. et al. 1995. Biochemistry 34, 8960-8972	
		GEEVES, M.A. et al. 1999. Ann. Rev. Biochem. 68, 687-728	
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		KAMBARA, T. et al. 1999. J. Biol. Chem. 274, 16400-16406	
		JOHNSON, K.A. et al. 1978. Biochemistry 17, 3432-3442	

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INF	ORMATION	DIS	CLOSURE	Filing Date	12/30/2003	
STA	STATEMENT BY APPLICANT			First Named Inventor	MOSS, Richard L.	
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Sheet	2	of	6	Attorney Docket Number	054030-0045	

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		RAYMENT, I. et al. 1993. Science 261, 50-58	
		ROVNER, A.S. et al. 1997. J. Muscle Res. Cell Motil. 18, 103-110	
		SANT'ANA PEREIRA, J.A.A. et al. 2001. J. Biol. Chem. 276, 4409-4415	
		STRANG, K. et al. 1994. Circ. Res. 74, 542-549	
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		SANT'ANA PEREIRA, J.A.A. et al. 1995. J. Mus. Res. Cell Motil. 16, 21-34	
		ALPERT, N.R. et al. 1981. Fed Proc 41:192-198	
		ANDERSON, P.A.W. et al. 1991. Circ Res 69:1226-1233	
		BERMAN, M.R. et al. 1988. J Mol Cell Cardiol 20:679-687	

Examiner	 Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE				Filing Date	12/30/2003		
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	MOSS, Richard L.		
	(Use as many she	ets as n	ecessary)	Art Unit	N/A		
		Examiner Name	Unknown				
Sheet	3	of	6	Attorney Docket Number	054030-0045		

Examiner Initials*	Cite No. <sup>1</sup>	NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		BONNE, G. et al. 1998. Circ Res 83:580-593	
. =		BOTTINELLI, R. et al. 1994. J Physiol 481:663-675	
		BUCK, S.H. et al. 1999. Am J Physiol 276:H1167-H1171	
		CHEN, J. et al. 1998. J Biol Chem 273:1252-1256	
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		deTOMBE, P.P. 1998. Cardiovasc Res 37:367-380	
		DIFFEE, G.M. et al. 1996. Biophys J 71:341-350	
, , , , , , , , , , , , , , , , , , ,		FENTZKE, R.C. et al. 1999. J Physiol 517:143-157	
		FITZSIMONS, D.P. et al. 1998. J Physiol 513:171-183	

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Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Substitut	te for form 1449/PTO			Complete if Known			
Casonia			Application Number	10/748,354			
INF	ORMATION	DIS	CLOSURE	Filing Date	12/30/2003		
STA	STATEMENT BY APPLICANT			First Named Inventor	MOSS, Richard L.		
	(Use as many she	ote se ni	oressarvi	Art Unit	N/A		
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Sheet	4	of	6	Attorney Docket Number	054030-0045		

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		GEISTERFER-LOWRANCE, A.A.T. et al. 1996. Science 272:731-734	
		GULICK, J. et al. 1997. Circ Res 80:655-664	
		HOMSHER, E. ET AL. 2000. J Physiol 524:233-243	
		HUANG, X.P. et al. 1999. Circ Res 84:1-8	
		JONES, W.K. et al. 1996. J Clin Invest 98:1906-1917	
		KELLEY, C. et al. 1993. J Biol Chem 268:12848-12854	
		LOWEY, S. et al. 1993. Nature 365:454-456	
		LYONS, G.E. et al. 1990. J Cell Biol 111:2427-2436	
		McDONALD, K.S. et al. 1998. J Physiol 511:519-531	
		MERCADIER, J.J. et al. 1981. Circ Res 49:525-532	

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Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/748,354	
INFO	DRMATION	DIS	CLOSURE	Filing Date	12/30/2003	
STA	STATEMENT BY APPLICANT			First Named Inventor	MOSS, Richard L.	
(Use as many sheets as necessary)				Art Unit	N/A	
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Sheet	5	of	6	Attorney Docket Number	054030-0045	

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		MIYATA, S. et al. 2000. Circ Res 86:386-390	
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		SWEENEY, H.L. et al. 1986. Am J Physiol 251:C431-C434	
		SWEENEY, H.L. et al. 1988. J Biol Chem 263:9034-9039	
		SWEENEY, H.L. et al. 1998. J Biol Chem 273:6262-6270	
		VanBUREN, P. et al. 1995. Circ Res 77:439-444	

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		VanBUREN, P. et al. 1994. Proc. Natl Acad Sci 91:12403-12407	
		WOLSKA, B. et al. 1999. Circ Res 84:745-751	

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